



Asset Management & Investment Funds

EXPECT
EXCELLENCE

DUBLIN • BELFAST • LONDON
NEW YORK • SILICON VALLEY

ARTHUR COX



“The firm always has best-in-class advice available... they go out of their way to satisfy us.”

Chambers Europe: Europe’s Leading Lawyers for Business, 2017

“This is an outstanding firm, which goes above and beyond for its clients.”

Chambers Europe: Europe’s Leading Lawyers for Business, 2016

“The standard bearer for excellence in the funds industry.”

The Legal 500: Europe, Middle East & Africa, 2015



Ireland Client Service Law Firm of the Year 2018
Chambers Europe Awards

Ireland Law Firm of the Year 2018
International Financial Law Review (IFLR)
Europe Awards

Ireland Law Firm of the Year 2018
Who’s Who Legal

Ireland Law Firm of the Year 2017
Chambers Europe Awards

Best Firm in Ireland 2016 & 2017
Europe Women in Business Law Awards

**Best National Firm for Women in Business Law
2016 & 2017**
Europe Women in Business Law Awards

**Best National Firm Mentoring Programme
2016 & 2017**
Europe Women in Business Law Awards

Ireland Law Firm of the Year 2016
International Financial Law Review (IFLR)
Europe Awards

Ireland Law Firm of the Year 2016
Who’s Who Legal

VALUES

Our values are partnership, integrity, performance, responsiveness and innovation.

OUR CREDENTIALS

We are consistently recognised as Ireland's leading law firm by industry commentators and this is reflected in the fact that we have won more major awards than any other law firm in Ireland.

THE FUTURE

We continue to grow and develop our services to satisfy our clients' needs for quality advice in an era of globalisation and rapid financial and technological change.

Our mission is to consistently exceed expectations with our uncompromising commitment to delivering outstanding performance. Our unique selling points are the consistency of our service delivery and our high level of partner involvement.

Since the firm's establishment in 1920, we have been at the forefront of developments in the legal profession in Ireland. From the outset, we have striven to deliver superior levels of service, building relationships that grow over time and developing specialist industry knowledge to help our clients achieve their goals. Our reputation is based on proven professional skills, a thorough understanding of clients' requirements, sound judgment and a practical approach to resolving commercial problems. We offer a full range of legal advice to our clients. Further information on each of our practice areas can be found on our website – www.arthurcox.com.

OUR FUNDS GROUP

Arthur Cox's Asset Management and Investment Funds Group is a market leader, advising on all aspects of investment management issues and the establishment and ongoing operation of investment funds in Ireland. Established in 1990, the Group has recently doubled in size and consists of a very experienced team that continues to demonstrate its in depth understanding of all types of investment funds, including UCITS, QIAIFs, ETFs, REITs, CCFs, hedge funds, private equity funds, loan origination funds and property funds. The Group's reputation for expertise and innovation is endorsed by an impressive client list which consists of many leading international financial institutions, investment banks and asset management companies.

The Asset Management and Investment Funds Group advises on a range of legal and regulatory issues, including:

- » Advising on fund structures
- » Establishing investment funds
- » Advising on day-to-day legal, operational, administration and company secretarial issues relating to funds
- » Liaising with the Central Bank and the Irish Stock Exchange
- » Advising on derivative products and prime brokerage arrangements for funds and prime brokers
- » Establishing investment firms in Ireland including MiFID firms, UCITS management companies and AIFMs
- » Advising investment managers
- » Advising fund administrators and custodians

- » Co-ordinating with foreign counsel in relation to the registration of funds outside of Ireland
- » Dispute resolution in relation to funds
- » Advising broker dealers and investment managers
- » Advising on cross border financial services within the European Union
- » Advising on anti-money laundering issues
- » Advising on compliance procedures and corporate governance
- » Advising on investor compensation issues
- » Listing Services for Investment Funds

INNOVATION

The Group has consistently worked with its clients over the years to develop innovative fund solutions to their product ranges and other requirements. Among the transactions in which the Group has been involved which are firsts of their kind are the following:

- » Establishing the first ETF in Ireland
- » Establishing the first money market fund as a UCITS
- » Establishing the first REIT property fund
- » Establishing the first multi-manager UCITS fund in Ireland
- » Establishing the first multi-asset loan origination QIAIF
- » Arranging the first redomiciliation of a Cayman fund to Ireland
- » Obtaining the first approval from the Central Bank for virtual pooling of collective investment scheme assets
- » Obtaining approval from the Central Bank

- for the establishment of side pockets in a QIAIF
- » Obtaining clearance from Central Bank to allow UCITS to invest in bank loans

REGULATORY DEVELOPMENTS

We devote substantial resources to maintaining and influencing the significant legislative changes affecting the industry. The Group closely monitors developments emerging from the European Commission, ESMA, the Central Bank and various industry bodies. The partners in the Group are thought leaders in the funds industry in Ireland who have led and continue to lead the funds industry’s initiatives on a number of key issues (such as EU money market fund reform as well as ETF and private equity reform in Ireland). The partners are also active members of various funds industry groups in Ireland including the Taoiseach’s (the Prime Minister’s) Funds Group and the various committees of the Irish Funds Industry Association. The partners also speak regularly at investment fund conferences.

HOW CAN WE HELP YOU? WE ARE BEST PLACED TO HELP YOUR ORGANISATION FOR THE FOLLOWING REASONS:

- » the high calibre of our team
- » flexible approach to problem solving
- » a proven track record for innovation
- » our reputation with the Central Bank
- » our thought leadership and proactive role in the funds industry
- » uniformly strong depth of expertise across related speciality areas of practice (including Tax, Derivatives, Financial Regulation and Capital Markets).

OUR TEAM

To find out more about our practice and how we could assist your organisation, please contact one of our partners.



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